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UTILITY PATENT APPLICATION TRANSMITTAL

| Attorney Docket No. | | No. | 32860-000646/US |
|---------------------|-------|------|---------------------|
| Inventor(s) | | Pete | er KLEINSCHMIDT |
| Title | ANONY | MOUS | S E-HEALTH COMMERCE |

| (Only for n | ew nonprovisiona | l applications unde | er 37 C.F.R. 1. | 53(b)) | 7100 | | | | | <u></u> | _) |
|---|---|---------------------|-----------------|--------|--|--|-------|-----------------|--------------|-----------------|-------|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | | | | | Al | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | | | | | S. PT |
| 1. See MPEP chapter 600 concerning utility patent application contents. 1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Applicant claims small entity status. See 37 CFR 1.27. 3. Specification [Total Pages 19 19 19 19 19 19 19 19 19 19 19 19 19 | | | | | | 7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS | | | | | |
| | or a computer program issing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | | | | | 9. Assignment Papers (cover sheet & document(s)) 10. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney | | | | | |
| | Drawing(s) (35 U.S.C.113) [Total Sheets 6] or Declaration [Total Pages] | | | | | 11. ☐ English Translation Document (if applicable) 12. ☒ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations | | | | □ Copies of IDS | |
| a. Newly executed (original or copy) b. Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. Application Data Sheet. See 37 CFR 1.76 | | | | | 14. 15. | 13. ☐ Preliminary Amendment 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | | |
| | | | | | | 16. ☐ Request and Non Publication under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. ☒ Other: Information Sheet | | | | | |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No:/ Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. | | | | | | | | | | | |
| | | - | 17. CO | RRESPO | NDEN | CE AD | DRESS | | · · | | |
| ☑ Customer Number or Bar Code Label (Insert Customer No. or Atta | | | | | 596 Ittach b | or Correspondence address below tach bar code label here) | | | | | |
| Name | Harness, Dickey & Pierce, P.L.C. | | | | | | | | | | |
| Address | P.O. Box 8910 | | | | | | | | | | |
| City | Reston State | | | | | VA Zip | | Code | 20195 | | |
| Country | United States of America Telephone | | | 703- | 703-668-8000 Fax 703-668-8200 | | | 703-668-8200 | | | |
| Name (Print/Type) Donald J. Daley R | | | | Regis | Registration No. (Attorney/Agent) 34,313 | | | | | | |
| Signature () | | | L | 5 | | | Date | October 9, 2003 | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time vill vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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| FEE TRANSMITTAL | Complete if Known | | | | | | |
|---|--------------------|--------------------|--|--|--|--|--|
| for FY 2003 | Application Number | NEW | | | | | |
| 10111 2000 | Filing Date | October 9, 2003 | | | | | |
| | Inventor(s) | Peter KLEINSCHMIDT | | | | | |
| Patent fees are subject to annual revision. | Examiner Name | UNASSIGNED | | | | | |
| | Group / Art Unit | UNASSIGNED | | | | | |
| | | 22252 222545#15 | | | | | |

| TOTAL AWOU | | | | | | | · | 0 0000 40/00 | | |
|--|----------------------|--|-------------|-------------|---|-------------|-----------------|--|----------|--|
| METHOD OF PAYMENT (check one) The Commissioner is hereby authorized to charge | | | | | FEE CALCULATION (continued) 3. ADDITIONAL FEES | | | | | |
| 1. 🗆 | | and credit any over payments to | | | Large Entity | | Small Entity | | | |
| Deposit | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Pald | | |
| Account Number | 08-0750 | | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | |
| Deposit | | | | | | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | | |
| Account | Harness, Dick | ey & Pierce, P.L.C. | | 1053 | 1053 | 1053 | 130 | Non-English specification | | |
| Name | | | | | 2,520 | 1812 | 2,520 | For filing a request for reexamination | | |
| ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | | | | | 920° | 1804 | 920* | Requesting publication of SIR prior to Examiner action | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | | | | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 2. 🛛 Payment | t Enclosed: | | | 1251 | 110 | 2251 | 55 | Extension for reply within first month | | |
| ☑ Check ☐ Credit card ☐ Money ☐ Other Order | | | | | 420 | 2252 | 210 | Extension for reply within second month | | |
| FEE CALCULATION | | | | 1253 | 950 | 2253 | 475 | Extension for reply within third month | | |
| 1. BASIC FILI | NG FEE | | | 1254 | 1,480 | 2254 | 740 | Extension for reply within fourth month | | |
| Large Entity S | • | | | 1255 | 2,010 | 2255 | 1,005 | Extension for reply within fifth month | | |
| | | Fee Description | e Paid | 1401 | 330 | 2401 | 165 | Notice of Appeal | | |
| | | Utility filing fee 770 | | 1402 | 330 | 2402 | 165 | Filing a brief in support of an appeal | | |
| | | Design filing fee | <u> </u> | 1403 | 290 | 2403 | 145 | Request for oral hearing | | |
| 1 003 530 20 | 003 265 | Plant filing fee | | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | | |
| | 004 385 | Reissue filing fee | | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | | |
| 1005 160 20 | 005 80 | Provisional filling fee | | 1453 | 1,330 | 2453 | 665 | Petition to revive – unintentional | | |
| SUBTOTAL (1) (\$) 770 | | | | 1501 | 1,330 | 2501 | 665 | Utility issue fee (or reissue) | | |
| | 005101A | <u> </u> | <u>'''\</u> | 1502 | 480 | 2502 | 240 | Design issue fee | | |
| 2. EXTRA CLAIM | FEES | | | 1503 | 640 | 2503 | 320 | Plant issue fee | | |
| | | Extra Fee from Claims below | Fee Paid | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | | |
| Total Claims 18 | -20 ** = | Claims below = [| 0 | 1807 | 50 | 1807 | 50 | Processing fee under 37 CFR 1.17 (q) | | |
| Independent Claims 1 | -3" = | 0 X 0 = | 0 | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | | |
| Multiple Dependent | | x = | 0 | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | | |
| Large Entity | Small Entit | у | | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| *** | Fee Fee Code (\$) | Fee Description | | 1810 | 770 | 2810 | 385 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 1202 18 1201 86 | 2202 9 2201 43 | Claims in excess of 20 Independent claims in excess | s of 3 | 1801 | 770 | 2801 | 385 | Request for Continued Examination (RCE) | | |
| | 2201 43 | Multiple dependent claim, if r | | 1802 | 900 | 1802 | 900 | Request for expedited examination | \vdash | |
| | 2204 43 | ** Reissue independent clain original patent | | 1004 | 300 | 1002 | 900 | of a design application | | |
| 1205 18 | 2205 9 | ** Reissue claims in excess over original patent | of 20 and | Other fo | e (specify | ۸ | | | | |
| | s | UBTOTAL (2) (\$) 0 | | Outer 16 | o (specii) | "—— | • | , - | | |
| **or number previous | sly paid, if greater | ; For Reissues, see above | | *Reduc | ed by Ba | sic Filing | Fee Pa | aid SUBTOTAL (3) (\$) 0 | | |

| SUBMITTED BY | | | | Co | implete (if applicable) | |
|-------------------|-----------------|----------------------------------|---------|-----------|-------------------------|--|
| Name (Print/Type) | Donald J. Daley | Registration No. Attorney/Agent) | 34, 313 | Telephone | 703-668-8000 | |
| Signature | 102 | el I Uh | | Date | October 9, 2003 | |
| | | | | | 1 | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION SHEET

Applicant(s):

Peter KLEINSCHMIDT

Application No:

NEW

Filed:

October 9, 2003

For:

ANONYMOUS E-HEALTH COMMERCE

Priority Claimed Under 35 U.S.C. §119 and/or 120:

COUNTRY

DATE

NUMBER

GERMANY

October 9, 2002

10247153.3

Send correspondence to:

HARNESS, DICKEY & PIERCE, P.L.C.

P.O. Box 8910 Reston, VA 20195 (703) 668-8000

The above information is submitted to advise the United States Patent and Trademark Office of all relevant facts in connection with the present application. A timely executed Declaration in accordance with 37 CFR 1.64 will follow.

Respectfully submitted,

Donald I Dale

Donald J. Daløy, Reg. No. 34,31

P.O. Box 8910 Reston, VA 20195 (703) 668-8000

DJD/jj